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Application Number 09/993,045 TRANSMITTAL Filing Date November 13, 2001 **FORM** First Named Inventor Timothy R. BRAZELTON Group Art Unit (to be used for all correspondence after initial filing) Examiner Name To Be Assigned Total Number Of Pages In This Submission Attorney Docket No. 286002021300 ENCLOSURES (check all that apply) Fee Transmittal Form (1 page in Assignment Papers After Allowance Communication to duplicate) (for an Application) Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Anneal Communication to Group Amendment / Reply Licensing-related Papers (Appeal Notice, Brief, Reply Brief) After Final Petition Proprietary Information Petition to Convert to a Affidavits/declarations Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) (please identify Change of Correspondence Address Extension of Time Request (3 pages) Statement Under 37 CFR 3.73(b) (1 page) Terminal Disclaimer Copy of Assignment (2 pages) Declaration (2 pages) Express Abandonment Request Return receipt postcard Request for Refund Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Document(s) Response to Missing Parts/ X Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 (1 page) SIGNATURE OF APPLICANT, ATTORNEY OR AGENT Firm Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, CA 94304-1018 Shantanu Basu, Reo. No. 43.318 Individual Name Signature Date February 8, 2002 CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Express Mail Label No.: EL779889766US

Date of Deposit: February 8, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT Timothy R. Brazelton ATTORNEY DOCKET NUMBER 286002021300

09/993.045

Shantanu Basu

Morrison & Foerster LL 755 Page Mill Road Palo Alto, CA 94304-10 11/13/2001

CONFIRMATION NO. 8147

FORMALITIES LETTER

Date Mailed: 12/11/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.

Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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FEE TRANSMITTAL FOR FY 2002 Complete if Known

Application Number 99939,345

Filing Date November 13, 2001

First Nemed Inventor Transity R. BRAZELTON

Examiner Name To Be Assigned

Group Art Unit 1914

286002021300

Patent fees are subject to annual revision.

METHOD OF PAYMENT FEE CALCULATION (continued) The Commissioner is hereby authorized to charge indicated 1. 区 3. ADDITIONAL FEES fees and credit any overpayments to: Large Entity Small Entity 03-1952 Fee Fee Fee Pald Code (\$) Fee Description Morrison & Foerster LLP 105 130 205 Surcharge - late filing fee or oath 25 nn C.F. Surcharge - late provisional filling X Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 127 50 227 25 fee or cover sheet X Applicant claims small entity status. See 37 CFR 1.27 139 130 139 130 Non-English specification For filing a request for ex parte 2. Payment Enclosed: 147 2 520 147 2 520 Requesting publication of SIR prior ☐ Check ☐ Credit Card ☐ Money Order ☐ Other 112 920* to Everniner action Requesting publication of SIR after FEE CALCULATION 112 1 040 113 1.8401 Examiner action BASIC FILING FEE 115 110 215 Extension for reply within first month 55 Extension for reply within second 116 400 216 200 month Fee (\$) Extension for reply within third 117 920 217 460 Fee month Fee Paid Extension for reply within fourth 118 1.440 218 720 month 101 740 201 370 Utility filing fee 128 1,960 228 980 Extension for reply within fifth month 106 330 206 165 Design filing fee 119 320 219 160 Notice of Appeal 107 510 207 255 Plant filing fee 120 320 220 Filing a brief in support of an appeal 108 740 208 370 Reissue filing fee 121 280 221 140 Request for oral hearing Petition to institute a public use Provisional filing fee 114 160 214 138 1.510 138 1,510 proceeding 140 110 240 55 Petition to revive - unavoidable SUBTOTAL (1) (\$)0 1.280 640 Petition to revive - unintentional 141 241 2. EXTRA CLAIM FEES 142 1,280 242 640 Utility issue fee (or reissue) 143 480 243 220 Design issue fee Total Claims 24 . 24 .. 9 \$0 144 820 244 310 Plant issue fee Independent 3 Claims - 3 -42 \$0 122 130 122 130 Petitions of the Commissioner Petitions related to provisional Multiple Dependent 123 50 123 50 Submission of Information 126 180 126 180 Disclosure Stmt Recording each patent assignment Fee Code 581 40 581 40 per properties (times number of Filing a submission after final Claims in excess of 20 146 246 370 (37 CFR § 1.129(a)) For each additional invention to be 202 Independent claims in excess of 3 740 249 370 examined (37 CFR § 1.129(b)) Request for Continued Examination 740 279 140 Multiple dependent claims. If not paid 179 370 (RCE) Request for expedited examination "Reissus Independent claims over 109 42 169 900 169 900 of a design application **Reissue claims in excess of 20 and over original patent 110 210 Other fee (specify) SUBTOTAL (2) (\$)0 Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)65.00 or number previously paid, if greater; For reissues, see above SUBMITTED BY Name (Print/Type) Registration No. Shantanu Basu 43,318 Telephone (650) 813-5995 (Attorney/Agent) Signature thanten Sale Date February 8, 2002

(\$)65.00

Attorney Docket No.

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